

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2009-06-30
Date of Last Change to Activities: 2012-08-26
Investment Auto Submission Date: 2012-02-29
Date of Last Investment Detail Update: 2012-02-29
Date of Last Exhibit 300A Update: 2012-03-30
Date of Last Revision: 2012-08-26

Agency: 016 - Social Security Administration **Bureau:** 00 - Agency-Wide Activity

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: Disability Case Processing System-DCPS

2. Unique Investment Identifier (UII): 016-000002141

Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

When a claimant files a Social Security disability claim with the Social Security Administration (SSA), basic eligibility requirements are verified and the claim is routed to a Disability Determination Component (DD Component). DD Components currently process SSA disability claims using customized versions of one of five current legacy case processing systems. These state-specific legacy systems comprise the DDS Automation program. In 2007, SSA performed a feasibility study to determine if a common, centralized case processing system could meet the business process needs of each DD Component. Based on this study, SSA analyzed alternatives to consolidate the legacy systems and initiated the Disability Case Processing System (DCPS) program to develop the common solution. DCPS is a multiyear effort to develop a modern, common case processing system. Once it is operational in FY2016, DCPS will provide common functionality and consistent support to each DD Component while continuing to provide accurate, current, consistent, and accessible data. DCPS will provide SSA with several functional and technological benefits, including full process integration, a common interface with other SSA offices and systems, ease of sharing disability processing workload across DD Component sites, and a dramatic reduction in the technological complexity of system support for disability case processing. DCPS will provide a single system that integrates the entire claims process from start to finish, including case processing, correspondence, fiscal, management information, and workload management.

DCPS will use intelligent case processing to support disability examiners in making consistent decisions based on SSA Disability Policy, and leverage SSA's investment in Health Information Technology (HIT), reducing the time needed to retrieve medical evidence and thus make a disability determination. No longer maintaining multiple systems, SSA will have the ability to consistently and efficiently implement software enhancements and modifications required by laws, regulations, or business rules while overall costs to provide disability case processing systems support will decrease compared to the cost to continue using the legacy systems. DCPS will minimize the average processing time for initial disability claims, decrease case processing-related task time and provide increased system availability, to improve the overall quality of the disability determination process.

2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.

One of SSA's four strategic goals in its Annual Performance Plan for Fiscal Years (FY) 2008-2013 is to improve the speed and quality of our disability process. DCPS will directly support this goal by allowing SSA to move from existing legacy systems to a common, modern system for all DD Components that will provide the foundation for an integrated electronic disability case processing system, take advantage of advances in health care industry technology, and reduce SSA's system and infrastructure maintenance costs. If DCPS is not fully funded, SSA will not be able to retire the existing legacy systems as planned in FY2016. SSA will not be able to achieve the benefits of a consolidated system, including reduced maintenance costs and workload sharing. Decreased funding for DCPS would result in extending the timeline of the DCPS project, causing schedule variances and effectively increasing costs to SSA, as continued support of both DCPS and DDS Automation will be required.

3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.

In FY2011, the DCPS program completed many activities critical to complete the planning stage and transition to the development stage of the program. These accomplishments include procuring a vendor for the development of DCPS, identifying and visiting Beta Sites, creating an implementation plan, preparing data conversion options, completing a draft General Project Scope Agreement, and collecting detailed user requirements for DCPS.

4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).

The DCPS program is scheduled to continue development efforts during FY2012, including validation, pre-beta development, end user acceptance testing, and training in preparation of the first beta release where a version of the DCPS software will be distributed to a limited number of DD Component sites for use in production prior to being rolled out to additional DD Components. Beta release 1 is scheduled for FY2012. In FY2013, development of the DCPS program will continue with additional beta releases.

5. **Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2011-09-09

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

| | PY-1 & Prior | PY 2011 | CY 2012 | BY 2013 |
|--|--------------------|------------|------------|------------|
| Planning Costs: | \$10.1 | \$0.0 | \$0.0 | \$0.0 |
| DME (Excluding Planning) Costs: | \$18.9 | \$53.2 | \$59.1 | \$71.6 |
| DME (Including Planning) Govt. FTEs: | \$10.9 | \$10.2 | \$9.5 | \$9.9 |
| Sub-Total DME (Including Govt. FTE): | \$39.9 | \$63.4 | \$68.6 | \$81.5 |
| O & M Costs: | \$1.0 | \$0.0 | \$0.0 | \$0.0 |
| O & M Govt. FTEs: | \$0.5 | \$0.0 | \$0.0 | \$0.0 |
| Sub-Total O & M Costs (Including Govt. FTE): | \$1.5 | 0 | 0 | 0 |
| Total Cost (Including Govt. FTE): | \$41.4 | \$63.4 | \$68.6 | \$81.5 |
| Total Govt. FTE costs: | \$11.4 | \$10.2 | \$9.5 | \$9.9 |
| # of FTE rep by costs: | 93 | 81 | 74 | 75 |
| | | | | |
| Total change from prior year final President's Budget (\$) | | \$-14.6 | \$-22.2 | |
| Total change from prior year final President's Budget (%) | | -18.70% | -24.40% | |

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

FY11 and FY12 government full time equivalent (FTE) costs reduced based on re-determined mix of contractor versus government work after the DCPS contract award.

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

| Contract Type | EVM Required | Contracting Agency ID | Procurement Instrument Identifier (PIID) | Indefinite Delivery Vehicle (IDV) Reference ID | IDV Agency ID | Solicitation ID | Ultimate Contract Value (\$M) | Type | PBSA ? | Effective Date | Actual or Expected End Date |
|---------------|--------------|-----------------------------|--|--|---------------|-----------------|-------------------------------|------|--------|----------------|-----------------------------|
| Awarded | 2800 | 0071 | SS000840029 | 2800 | | | | | | | |
| Awarded | 2800 | SS001160002 | | | | | | | | | |
| Awarded | 2800 | SS001060107 | | | | | | | | | |
| Awarded | 2800 | SS001060082 | | | | | | | | | |
| Awarded | 2800 | SS001060108 | | | | | | | | | |
| Awarded | 2800 | SS001230250 | NNG07DA23B | 8000 | | | | | | | |
| Awarded | 2800 | 0076 | SS000840039 | 2800 | | | | | | | |
| Awarded | 2800 | SS001230258 | NNG07DA45B | 8000 | | | | | | | |

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

SSA's Earned Value Management (EVM) policy and implementation was reviewed by OMB, OIG and others and deemed consistent with the intent of OMB's M-10-27 (and its predecessors) and the ANSI standards, which define a compliant EVM System. SSA performs the vast majority of our work in-house, and thus conducts EVM and program management at the total program level which includes both Government costs and support contracts. The inclusion of Earned Value in SSA contracts is based on the type of contract let, the services performed, and the date when the contract was let. When applicable per policy, Earned Value Management requirements are applied to SSA contractors in one of two ways. The first is to require the contractor to satisfy requirements in accordance with FAR 52.234. SSA currently has seven contracts that include a modified EVM FAR clause. The second is for the contractor to provide necessary data directly into SSA's in-house EVMS. SSA's in-house, program-level EVMS enables contractor efforts to be easily and separately monitored, yet produces data attributable to the component and sub-component level. Where appropriate, successor contracts to expiring SSA contracts include a modified EVM FAR clause suited to SSA's program level EVMS. Examples include the IT Support Services Contract (ITSSC) replacing the Agency Wide Support Services Contract (AWSSC), and the DCPS contract eventually replacing the Versa and Levy (Iron Data) contracts.

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-08-26

Section B: Project Execution Data

Table II.B.1 Projects

| Project ID | Project Name | Project Description | Project Start Date | Project Completion Date | Project Lifecycle Cost (\$M) |
|------------|-----------------------------------|--|--------------------|-------------------------|------------------------------|
| DCPS03 | FY11 DCPS | FY11 Disability Case Processing System. | | | |
| DCPS0401 | FY12 DCPS Oversight & Development | Under the direction of Program Manager support the overall DCPS Program Management, Management Information, Interfaces, Correspondence, Fiscal, and Workload & Case Management sub projects. Provide planning, oversight, guidance, and direction for all DCPS projects and work products. Work closely with the user community and sponsor to ensure collaboration and input as required. | | | |
| DCPS0402 | FY12 DCPS Design & Development | FY 12 design and development activities will be critical towards beta preparation. Activities include; development of system specifications, design, and product development activities. | | | |
| DCPS0403 | FY12 ITS Costs | Purchases to support DCPS hardware and software needs as well as specialized operational | | | |

Table II.B.1 Projects

| Project ID | Project Name | Project Description | Project Start Date | Project Completion Date | Project Lifecycle Cost (\$M) |
|------------|---------------------------|---|--------------------|-------------------------|------------------------------|
| | | project needs. | | | |
| DCPS0404 | FY12 - Management Support | Management and overhead workyears are based on the total number of FTEs and contractors allocated to this program for project-related work. | | | |
| DCPS0405 | FY12 - Non-DCS Support | GS-2210 IT Specialists supporting major IT initiatives that work in various agency-level offices outside the Office of Systems. | | | |

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

| Project ID | Name | Total Cost of Project Activities (\$M) | End Point Schedule Variance (in days) | End Point Schedule Variance (%) | Cost Variance (\$M) | Cost Variance (%) | Total Planned Cost (\$M) | Count of Activities |
|------------|-----------------------------------|--|---------------------------------------|---------------------------------|----------------------|-------------------|--------------------------|---------------------|
| DCPS03 | FY11 DCPS | | | | | | | |
| DCPS0401 | FY12 DCPS Oversight & Development | | | | | | | |
| DCPS0402 | FY12 DCPS Design & Development | | | | | | | |
| DCPS0403 | FY12 ITS Costs | | | | | | | |
| DCPS0404 | FY12 - Management Support | | | | | | | |
| DCPS0405 | FY12 - Non-DCS Support | | | | | | | |

Key Deliverables

| Project Name | Activity Name | Description | Planned Completion Date | Projected Completion Date | Actual Completion Date | Duration (in days) | Schedule Variance (in days) | Schedule Variance (%) |
|--------------|---------------|--|-------------------------|---------------------------|------------------------|--------------------|------------------------------|-----------------------|
| DCPS03 | FY11 DCPS | FY11 Disability Case Processing System | 2011-09-30 | 2011-09-30 | 2011-09-30 | 364 | 0 | 0.00% |

| Key Deliverables | | | | | | | | |
|------------------|--|--|-------------------------|---------------------------|------------------------|--------------------|------------------------------|-----------------------|
| Project Name | Activity Name | Description | Planned Completion Date | Projected Completion Date | Actual Completion Date | Duration (in days) | Schedule Variance (in days) | Schedule Variance (%) |
| DCPS0402 | MI, Correspondence, Fiscal Development: Beta Iteration I | Detailed system specifications, preliminary design and detailed data model for Fiscal, Management Information and Correspondence. | 2012-03-30 | 2012-03-30 | 2012-03-30 | 179 | 0 | 0.00% |
| DCPS0403 | Hardware & Software Upgrades: Beta Iteration I | Hardware and software upgrades have been accounted for and purchases will be made. Anticipated hardware and software upgrades will include HW / SW to support the overall DCPS infrastructure to include support for: Correspondence, Interfaces, MI, Fiscal and Workload and Case Management. | 2012-03-30 | 2012-03-01 | 2012-02-25 | 179 | 34 | 18.99% |
| DCPS0403 | Operational Support: Beta Iteration I | Operational Support will include specialized skills necessary to support the overall DCPS architecture. The specialized Operational Support will be used to support the software development lifecycle and Beta implementation. | 2012-03-30 | 2012-03-30 | 2012-03-30 | 179 | 0 | 0.00% |
| DCPS0401 | DCPS Oversight: Beta Iteration I | Provide overall DCPS Project Management for the DCPS Program, to include all contract and contractor activities and the following | 2012-03-30 | 2012-03-30 | 2012-03-30 | 179 | 0 | 0.00% |

| Key Deliverables | | | | | | | | |
|------------------|--|---|-------------------------|---------------------------|------------------------|--------------------|------------------------------|-----------------------|
| Project Name | Activity Name | Description | Planned Completion Date | Projected Completion Date | Actual Completion Date | Duration (in days) | Schedule Variance (in days) | Schedule Variance (%) |
| | | DCPS sub projects: Management Information (MI) Interfaces, Correspondence, Fiscal, and Workload & Case Management. Collaboratively work with contractors to collect data, to oversee and review work products, and to ensure that customers and stakeholders are appropriately informed and engaged. | | | | | | |
| DCPS0402 | PMO Support: Beta Iteration I | PMO Support will involve key staff to support continuity of business and technical operations. The staff will also collaborate with DCPS PMO and other project staff as needed. | 2012-03-30 | 2012-03-30 | 2012-03-30 | 179 | 0 | 0.00% |
| DCPS0402 | Dev/Val Environment Setup: Beta Iteration I | Support and maintenance for the development and validation architecture. | 2012-03-30 | 2012-03-30 | 2012-03-30 | 179 | 0 | 0.00% |
| DCPS0402 | Workload Case Mgmt: Beta Iteration I | Detailed system specifications, preliminary design and detailed data model for workload and case management. | 2012-03-30 | 2012-03-30 | 2012-03-30 | 179 | 0 | 0.00% |
| DCPS0402 | Dev/Val Environment Setup: Beta Iteration II | Support and maintenance for the overall development and validation | 2012-09-30 | 2012-09-30 | | 182 | 0 | 0.00% |

| Key Deliverables | | | | | | | | |
|------------------|---|--|-------------------------|---------------------------|------------------------|--------------------|------------------------------|-----------------------|
| Project Name | Activity Name | Description | Planned Completion Date | Projected Completion Date | Actual Completion Date | Duration (in days) | Schedule Variance (in days) | Schedule Variance (%) |
| | | environments throughout the Beta release lifecycle. | | | | | | |
| DCPS0402 | Workload Case Mgmt: Beta Iteration II | Design, develop and test the DCPS workload and case management software. | 2012-09-30 | 2012-09-30 | | 182 | 0 | 0.00% |
| DCPS0403 | Hardware & Software Upgrades: Beta Iteration II | Hardware and software upgrades have been accounted for and purchases will be made. Anticipated hardware and software upgrades will include HW / SW to support the overall DCPS infrastructure to include support for: Correspondence COTS product, MI COTS product, Fiscal and Workload and Case Management. | 2012-09-30 | 2012-09-30 | | 182 | 0 | 0.00% |
| DCPS0402 | MI, Correspondence, Fiscal Development: Beta Iteration II | Design, develop and test the DCPS MI, Correspondence, and Fiscal software. | 2012-09-30 | 2012-09-30 | | 182 | 0 | 0.00% |
| DCPS0403 | Networking Acquisition: Beta Iteration II | Networking acquisition needs have been accounted for and purchases will be made. Anticipated networking acquisition purchases include support for the DCPS overall architecture. Additional capacity will be obtained to support the Beta Release. | 2012-09-30 | 2012-09-30 | | 182 | 0 | 0.00% |
| DCPS0402 | Project Integration: | Design, develop and | 2012-09-30 | 2012-09-30 | | 152 | 0 | 0.00% |

| Key Deliverables | | | | | | | | |
|------------------|---|---|-------------------------|---------------------------|------------------------|--------------------|------------------------------|-----------------------|
| Project Name | Activity Name | Description | Planned Completion Date | Projected Completion Date | Actual Completion Date | Duration (in days) | Schedule Variance (in days) | Schedule Variance (%) |
| | Beta Iteration II | test software to support the integration of critical systems necessary to support the DCPS business process. | | | | | | |
| DCPS0403 | Operational Support: Beta Iteration II | Operational Support will include specialized skills necessary to support the overall DCPS architecture. The specialized Operational Support will be used to support the software development lifecycle and Beta implementation. | 2012-09-30 | 2012-09-30 | | 182 | 0 | 0.00% |
| DCPS0402 | Data Conversion: Beta Iteration II | Design, develop and test data conversion software and processes to support DCPS data needs. | 2012-09-30 | 2012-09-30 | | 182 | 0 | 0.00% |
| DCPS0402 | DCPS Requirements: Beta Iteration II | Develop DCPS Business Requirements based on DCPS Gap analysis and expanded Beta needs, develop detailed system specifications, preliminary design and detailed data model. | 2012-09-30 | 2012-09-30 | | 182 | 0 | 0.00% |
| DCPS0402 | Implementation Support: Beta Iteration II | Implementation Support as work is done towards beta preparation. These activities will include continued site readiness, training | 2012-09-30 | 2012-09-30 | | 242 | 0 | 0.00% |

| Key Deliverables | | | | | | | | |
|------------------|---|--|-------------------------|---------------------------|------------------------|--------------------|------------------------------|-----------------------|
| Project Name | Activity Name | Description | Planned Completion Date | Projected Completion Date | Actual Completion Date | Duration (in days) | Schedule Variance (in days) | Schedule Variance (%) |
| | | strategy, training material development, acceptance testing development and help desk support activities. | | | | | | |
| DCPS0402 | Integration & SDLC Support: Beta Iteration II | Software development lifecycle management and support to migrate software through the validation, integration, acceptance testing and production environments. | 2012-09-30 | 2012-09-30 | | 242 | 0 | 0.00% |
| DCPS0401 | DCPS Oversight: Beta Iteration II | Provide overall DCPS Project Management for the DCPS Program, to include all contract and contractor activities and the following DCPS sub projects: Management Information (MI) Interfaces, Correspondence, Fiscal, and Workload & Case Management. Collaboratively work with contractors to collect data, to oversee and review work products, and to ensure that customers and stakeholders are appropriately informed and engaged. | 2012-09-30 | 2012-09-30 | | 182 | 0 | 0.00% |
| DCPS0402 | PMO Support: Beta Iteration II | PMO Support will involve key staff to support continuity of business and | 2012-09-30 | 2012-09-30 | | 182 | 0 | 0.00% |

Key Deliverables

| Project Name | Activity Name | Description | Planned Completion Date | Projected Completion Date | Actual Completion Date | Duration (in days) | Schedule Variance (in days) | Schedule Variance (%) |
|--------------|---------------|-------------|-------------------------|---------------------------|------------------------|--------------------|------------------------------|-----------------------|
|--------------|---------------|-------------|-------------------------|---------------------------|------------------------|--------------------|------------------------------|-----------------------|

technical operations.

The staff will also collaborate with DCPS PMO and other project staff as needed.

Section C: Operational Data

| Table II.C.1 Performance Metrics | | | | | | | | |
|----------------------------------|-----------------|--|-----------------------|----------|---------------|---------------|---------------|---------------------|
| Metric Description | Unit of Measure | FEA Performance Measurement Category Mapping | Measurement Condition | Baseline | Target for PY | Actual for PY | Target for CY | Reporting Frequency |

NONE